

PTO/SB/21 (63-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number Application Number 10/038,016 TRANSMITTAL Filing Date April 5, 2002 **FORM** First Named Inventor IVES, R. Lawrence Art Unit (to be used for all correspondence after initial filing) 2821 **Examiner Name** A. Minh Attorney Docket Number 27 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication Fee Transmittal Form Drawing(s) to a Technology Center (TC) Appeal Communication to Board Fee Attached Licensing-related Papers of Appeals and Interferences V Appeal Communication to TC Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Provisional Application** Proprietary Information Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Remarks DGY CENTER 2800 Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm James H. Fritz, DiPinto & Shimokaji or Individual Signature 241/ Date 6/20/03 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 6/20/03 Typed or printed James H. Fritz Signature Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Signature



Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
as are required to respond to a collection of information unless it displays a valid OMB control with Under the Paperwork Reduction Act of 1985 RAD

## FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$)205.00

aniess it displays a valid OMB control number		
Complete if Known		
Application Number	10/038,016	
Filing Date	April 5, 2002	
First Named Inventor	IVES, R. Lawrence	
Examiner Name	A. Minh	
Art Unit	2821	
Attorney Docket No.		

Date

6/20/03

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)		
Check Credit card Money Other None			
Deposit Account:	Large Entity   Small Entity		
Deposit Account	Fee Fee Fee Fee Code (\$) Code (\$)	o Daid	
Number Deposit	1051 130 2051 65 Surcharge - late filing fee or oath	e Paid	
Account Name	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet		
The Director is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812 2,520 1812 2,520 For filing a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application Charge fee(s) indicated below, except for the filling fee	n 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action		
to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month	5.00	
1. BASIC FILING FEE	1252 410 2252 205 Extension for reply within second month	5.00	
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid	1253 930 2253 465 Extension for reply within third month		
Code (\$) Code (\$)	1254 1,450 2254 725 Extension for reply within fourth month		
1001 750 2001 375 Utility filing fee	1255 1,970 2255 985 Extension for reply within fifth month		
1002 330 2002 165 Design filing fee	1401 320 2401 160 Notice of Appeal		
1003 520 2003 260 Plant filing fee	1402 320 2402 160 Filing a brief in support of an appeal.		
1004 750 2004 375 Reissue filing fee	1403 280 2403 140 Request for oral hearing 6	$\overline{\mathcal{R}}$	
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding	TIT	
SUBTOTAL (1) (\$)	1452 110 2452 55 Petition to revive - unavoidable	<u> </u>	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453 1.300 2453 650 Polition to routing unique (C)		
_ Fee from	1501 1,300 2501 650 Utility issue fee (or reissue)	m	
Total Claims Extra Claims below Fee Paid	1502 470 2502 235 Design issue fee		
lodependent - 3** = X	1503 630 2503 315 Plant issue fee		
Multiple Dependent	1460 130 1460 130 Petitions to the Commissioner		
Large Entity   Small Entity	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)		
Fee Fee Fee Fee Description	1806 180 1806 180 Submission of Information Disclosure Stmt		
Code (\$)   Code (\$)   1202 18   2202 9 Claims in excess of 20	8021 40 8021 40 Recording each patent assignment per property (times number of properties)		
1201 84 2201 42 Independent claims in excess of 3	1809 750 2809 375 Filing a submission after final rejection	$\neg$	
1203 280 2203 140 Multiple dependent claim, if not paid	(37 CFR 1.129(a)) 1810 750 2810 375 For each additional invention to be		
1204 84 2204 42 ** Reissue independent claims over original patent	examined (37 CFR 1.129(b))		
1205 18 2205 9 ** Reissue claims in excess of 20	100 (RCE)		
and over original patent	1802 900 1802 900 Request for expedited examination of a design application		
SUBTOTAL (2) (\$)	Other fee (specify)		
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 205.00	一门	
SUBMITTED BY			
Name (Print/Type)  James H. Fritz  Registration No. (Complete (if applicable)  Registration No. (Attempt/Accept)  (Complete (if applicable)  Telephone 949/222-2814			

WARNING Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Certificate of Mailing under 37 CFR 🗯 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as-first-class mail in an envelope addressed to:

express Express Mail Cert. # E 4 823246539 45 Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

JUNE 20,2003

Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Transmittal Form, Fee Transmittal Form, Check, Petition for Extension of Time, Amendment, Certificate of Mailing, Self-Addressed & Stamped post card.

This collection of information is required by 37 CFR 1.8. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.